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Applicant or Patentee: **John P. Hancock and Ralph A. McClelland**Serial Or Patent No.: \_\_\_\_\_ Docket No.: **4878.0020**Filed or Issued: **Herewith**For: **REFRIGERANT RECOVERY DEVICE**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ an official of the small business concern empowered to  
act on behalf of the concern identified below:

NAME OF CONCERN: **K-Whit Tools, Inc.**  
ADDRESS OF CONCERN: **100 Visionary Way**  
**Fishers, Indiana 46038**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41 (a) and (b) of Title 25, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **REFRIGERANT RECOVERY DEVICE** by inventor(s) **John P. Hancock and Ralph A. McClelland** described in

☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no right to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organ.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time or paying, the earliest of the issue fee for or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

John P. Harned  
Vice President  
100 Visionary Way  
Fishers, Indiana 46038

Signature

John P. Harned

Date

12-7-92

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DECLARATION AND POWER OF ATTORNEY

Docket No. 4878.0020

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **REFRIGERANT RECOVERY DEVICE** which is described and claimed in the specification which:

(check [X] is attached hereto.  
one) [ ] was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty of disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

<u>Country</u>	<u>Application No.</u>	<u>Date of Filing</u>	<u>Priority Claimed</u>
<u>N/A</u>	_____	_____	____ Yes ____ No
_____	_____	_____	____ Yes ____ No
_____	_____	_____	____ Yes ____ No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
07/676,740	28 March 1991	Pending

(3) I hereby appoint Jay G. Taylor, Reg. No. 25,799, E. Victor Indiano, Reg. No. 30,143, and Roland A. Fuller, III, Reg. No. 31,160, my attorneys, with full power of substitution and revocation, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith, and I specify that communications regarding the application be directed to:

Patent Department/EVI  
Ice, Miller, Donadio & Ryan  
One American Square, Box 82001  
Indianapolis, Indiana 46282  
(317) 236-2100

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and thee like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00  
John P. Hancock  
Full Name of Sole or First  
Inventor

United States  
Citizenship

J.P. Hancock  
Inventor's Signature

12-7-92  
Date

1545 E. 101st  
Indpls. IN 46280  
Residence and Post Office Address

IN

2-00

Ralph A. McClelland  
Full Name of Second Joint  
Inventor, If Any

Ralph A. McClelland  
Inventor's Signature

United States  
Citizenship

12/7/92  
Date

\_\_\_\_\_  
Residence and Post Office Address

\_\_\_\_\_  
Full Name of Third Joint Inventor, Citizenship  
If Any

\_\_\_\_\_  
Inventor's Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Residence and Post Office Address

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